PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Application Number		10/743,906				
TRANSMITTAL	Filing Date		December 24, 2003					
FORM	First Named Inventor		Ryu OGIWARA et al.					
UIL 2: 6 2015 TH	Art Unit		2824					
(to be used for all correspondence after	Examiner Name	·	Tran, A.					
otal Number of Pages in This Submiss	sion	Attorney Docket Nur	mber	001701.00	0198			
ENCLOSURES (check all that apply)								
☐ Fee Transmittal Form	☐ Drawing(s			After All	owance Communication to TC			
Fee Attached	Licensing	related Papers			Communication to Board			
Amendment / Reply	Petition	·		of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After Final		Convert to a al Application			Proprietary Information			
Affidavits/declaration(s)		Attorney, Revocation f Correspondence Addre	ess	Status L	.etter			
Extension of Time Request	Terminal !	Disclaimer		Other Enclosure(s) (please identify below):				
	or Refund		Preliminary Amendment					
Express Abandonment Request	CD, Numb	per of CD(s)						
Information Disclosure Statement	☐ Lan	dscape Table on CD						
Certified Copy of Priority Document(s)	Remarks							
Reply to Missing Parts/ Incomplete Application								
Reply to Missing Parts under 37 CFR1.52 or 1.53								
SIGI	NATURE OF A	APPLICANT, ATTORI	NEY, OF	RAGENT				
Firm	Firm Banner & Witcoff, LTD.							
Signature Lury V. Fedowille								
Printed Name	Gary D. Fedorochko							
Date	Date 7/26/05			35,509				
	CERTIFICA	TE OF TRANSMISSIC	ON/MAIL	ING				
I hereby certify that this corresponden Service with sufficient postage as fir Alexandria, VA 22313-1450 on the date	st class mail ir							
Signature								
Typed or printed name				Date				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Fees Paid (\$)

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Effective on 12/08/2004. Re Pour ant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Complete if Known					
FEETRANSMITTAL			Application Number	10/743,90				
			Filing Date	Decembe				
JUL 2.6 MIN FOR FY 2005		First Named Inventor	Ryu OGIWARA et al.					
Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Tran, A.					
TOTAL AMOUNT OF PAYMENT (\$) 450		(t) 450		Art Unit	it 2824			
			Attorney Docket No.	001701.0				
METHOD OF PAYMENT	(check a	ill that apply)						
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Deposit Account Depo	sit Accour	nt Number: 19-0733		Deposit Account	Name: Bar	ner & Witc	off, LTD.	
•			is her	eby authorized to: (ch	eck all that ap	ply)		
	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee							
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Under 37 C	FR 1.16 a	ind 1.17		• • •	• • •			
WARNING: Information on this authorization on PTO-2038.	form may	become public. Credit care	d infor	mation should not be in	cluded on this	form. Provide c	redit card information and	
FEE CALCULATION								
	2011 411	D EVALUATION FE						
1. BASIC FILING, SEAF	FILING			CH FEES	EXAMIN	ATION FEES		
		Small Entity		Small Entity		Small Entity		
Application Type	<u>Fee (\$)</u>		ee(\$		<u>Fee(\$)</u>	<u>Fee(\$)</u>	Fees Paid (\$)	
Utility	300		500	250	200	100		
Design	200		00	50	130	65		
Plant	200		300	150	160	80		
Reissue	300	150 5	500	250	600	300		
- Provisional	200	100	0	0	0	0	• · · · · · · · · · · · · · · · · · · ·	
2. EXCESS CLAIM FEES Small Entity								
Fee Description						<u>Fee (\$)</u>	Fee (\$)	
Each claim over 20 (inclu						50	25	
	Each independent claim over 3 (including Reissues) 200 100							
Multiple dependent claims Total Claims Extra Claims Fee(\$)			Fee Paid (\$)		360 Multiple	180 Dependent Claims		
$\frac{32}{32} - 27 \text{ or HP} = \frac{5}{2} \times \frac{50}{2} = \frac{250}{2}$				Fee (\$) Fee Paid (\$)				
HP = highest number of tot	_							
Indep. Claims	Extra C			Fee Paid (\$)				
<u>8</u> - 7 or HP=	1	x 200	=	200				
HP = highest number of independent claims paid for, if greater than 3.								
3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50								
oboote as function t		e)), the application size f ee 35 U.S.C. 41(a)(1)(G)			all entity) for	r each additions	al 50	

SUBMITTED BY				
Signature	Levy O teclosolle	Registration No. (Attorney/Agent) 35,509	Telephone	202-824-3000
Name (Print/Type)	Gary D. Fedorochko		Date	7/26/05

_ (round up to a whole number) x

- 100 =

Other (e.g., late filing surcharge):

4. OTHER FEE(S)

/ 50 =

Non-English Specification, \$130 fee (no small entity discount)

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Appln. No.: 10/743,906

Preliminary Amendment dated July 26, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Atty. Docket No.:

001701.00198

Ryu OGIWARA et al.

Serial No.: 10

10/743,906

Group Art Unit:

2824

Filed:

December 24, 2003

Examiner:

Tran, A.

For:

FERROELECTRIC MEMORY AND SEMICONDUCTOR MEMORY

Confirmation No.:

9189

PRELIMINARY AMENDMENT

U.S. Patent and Trademark Office Customer Service Window, Mail Stop Amendment Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Prior to examination on the merits, please amend the instant application as follows:

Amendments to the Claims are reflected in the Listing of Claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

07/27/2005 SZEWDIE1 00000094 190733 10743906

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